

**Ohio Department of Higher Education  
and  
The Council for the Accreditation of Educator Preparation (CAEP)  
Partnership Agreement**

Whereas, CAEP is a nongovernmental, voluntary association committed to the effective preparation of teachers and other P-12 professional educators; and

Whereas, CAEP, through an autonomous Accreditation Council, accredits educator preparation providers (EPPs) and advances excellent educator preparation through evidence-based accreditation that assures quality and supports continuous improvement to strengthen P-12 student learning; and

Whereas, CAEP is a nationally recognized accreditor, having earned recognition by the Council for Higher Education Accreditation (CHEA), and may seek recognition by the United States Secretary of Education, and, therefore, develops policy and procedures aligned with all applicable requirements of CHEA and, to the extent practicable, the U.S. Department of Education (USDEd); and

Whereas, the Ohio Department of Higher Education (Ohio or State) is authorized pursuant to Ohio Revised Code 3333.048 to inspect and approve institutions of higher education engaged in the preparation of educators and other school personnel.

CAEP, and the State hereby enter into this agreement detailing the State's preferences with regard to program review options and review team composition for accreditation site visits conducted by CAEP of EPPs operating within the State, and establishing the primary responsibilities each party has in supporting CAEP Accreditation activities involving all such EPPs.

**1. CAEP Standards and Scope of Accreditation**

The Parties understand and agree that:

- 1.1. The CAEP Board of Directors (CAEP Board or Board) has adopted standards (CAEP Standards or Standards) that serve as the basis for all accreditation reviews undertaken by CAEP. The CAEP Standards reflect the voice of the education field – on what makes a quality educator. The Standards and their components flow from two principles:
  - 1.1.1. There must be solid evidence that the educator preparation provider's graduates (completers) are competent and caring educators, and
  - 1.1.2. There must be solid evidence that the educator preparation provider's educator staff have the capacity to create a culture of evidence and use it to maintain and enhance the quality of the professional programs they offer.
- 1.2. As a result of the ongoing critical self-review that CAEP undertakes to maintain and improve the quality of CAEP Accreditation, the CAEP Board will undertake a comprehensive review and

revision of the CAEP Standards on a schedule set by the Board and may, as needed, make interim amendments to the Standards. In making any such changes, CAEP will seek stakeholder and public input, including input from the State and its EPPs. It is the responsibility of the State and any EPPs seeking CAEP Accreditation to stay informed of any changes made to the CAEP Standards and the timeline(s) set by the Board for the implementation of or transition to new or revised Standards.

CAEP accredits educator preparation providers (EPPs) that offer bachelor's, master's, and/or doctoral degrees, post-baccalaureate or other programs leading to certification, licensure, or endorsement in the United States and/or internationally.

EPPs must include the following in their submission for CAEP's review: All licensure areas that prepare candidates to work in preschool through grade 12 settings at the initial and advanced level that lead to professional licensure, certification, or endorsement as defined by the state, country, or other governing authority under which the EPP operates and for which the state or country has established program approval standards.

EPPs with programs at both the initial-licensure and advanced-level, as defined above, are required to undergo initial- and advanced-level accreditation reviews on the same cycle. The EPP will submit a single self-study report and receive two separate accreditation decisions (one for initial-licensure and one for advanced-level).

## **2. CAEP's Responsibility for Education Preparation Provider (EPP) Accreditation**

The Parties understand and agree that:

- 2.1. CAEP, through the Accreditation Council, has sole responsibility for granting CAEP Accreditation to an EPP, and for supporting and overseeing NCATE- and TEAC- accredited EPPs through continuous accreditation and the CAEP Eligibility processes described in CAEP policy.
- 2.2. The process required for national accreditation by CAEP is outlined in the policies and procedures of CAEP and the Accreditation Council, both of which may be revised from time to time. It is the responsibility of the State and any EPP seeking CAEP Accreditation to stay informed of any such changes as they may impact the CAEP Accreditation process from the time of their adoption or publication.

## **3. State's Responsibility for Program Approval**

The Parties understand and agree that:

- 3.1. The State has sole responsibility for program approval. In granting program approval, the State will utilize information generated from CAEP's review of an EPP, including but not limited to an Accreditation Council decision on CAEP Accreditation and the assignment of any Areas for Improvement (AFIs) and Stipulations, as described in Accreditation Council policy. Although the State may elect to have state-specific standards and/or requirements incorporated into the CAEP

review, consistent with the program review options outlined below, information gathered on these standards and requirements is to be used only for purposes of determining state approval. An EPP's attainment of, or failure to attain, any non-CAEP standards will have no bearing on CAEP Accreditation.

- 3.2. The State will periodically review its program review requirements against the CAEP Standards and policies and will, in a timely manner, make CAEP aware of any conflicts or potential inconsistencies so that all parties to this agreement are aware of any such issues and can work constructively together to minimize any challenges that may arise from them.

#### **4. Transition from NCATE and TEAC Accreditation to CAEP Accreditation**

- 4.1. Beginning January 1, 2017, CAEP ceased operation of the NCATE and TEAC Commissions.
- 4.2. Unless the State requires CAEP Accreditation as a condition of State approval, EPPs holding NCATE or TEAC accreditation and meeting CAEP's requirements for continuous accreditation will not be required to meet CAEP Standards until the expiration of their current term of accreditation. All such EPPs are subject to the transition provisions described in Sections 4.2.1 to 4.2.6, below, and further defined in Accreditation Council policy.
  - 4.2.1. Annual Reports: All NCATE- and TEAC-accredited EPPs are required to submit annual reports through the duration of their current term using the CAEP annual report template provided in the CAEP accreditation platform.
  - 4.2.2. Good Standing: An NCATE- or TEAC-accredited EPP in good standing is considered to be continuously accredited. Upon expiration of the EPP's NCATE or TEAC term of accreditation, the EPP's next accreditation review must be based on CAEP Standards, policy, and handbook, and carried out using the uniform CAEP Accreditation process. Any such EPP is not required to complete the CAEP application process so long as continuous accreditation is maintained.
  - 4.2.3. Resolution of NCATE 2-Year Reviews: For any NCATE-accredited EPP that still must undergo a full or focused site visit, any such review, and any subsequent Accreditation Council decision stemming from such review, will be based on the NCATE standards, policies, and procedures used for the previous review.
  - 4.2.4. Resolution of TEAC Stipulations: For any TEAC-accredited EPP that still must undergo a document review as a consequence of having one or more stipulations to correct, any such review and any subsequent Accreditation Council decision stemming from such review, will be based on TEAC principles, policies, and procedures.
  - 4.2.5. Extensions Granted for Reviews Taking Place up to Fall 2019: For any NCATE- or TEAC-accredited EPP that has been granted an extension, either by CAEP or the Annual Report Monitoring Committee (ARM) of the Accreditation Council, for an accreditation review that will take place no later than fall 2019, such review (including the site visit and panel reviews) and any subsequent Accreditation Council decision stemming from such review will be based on the CAEP Standards, and carried out using the same process or pathway used for the initial review, as appropriate.
  - 4.2.6. Extensions Granted for Reviews Taking Place After Fall 2019: For any NCATE- or TEAC-accredited EPP that has been granted an extension, either by CAEP or the ARM, for an accreditation review that will take place after fall 2019, such review (including the site visit and panel review) and any subsequent Accreditation Council decision stemming from the review, will be based on the CAEP Standards, policy, and handbook.

4.3. Any accreditation review scheduled to take place during and after fall 2019, whether of a new applicant, for continuing accreditation, or following an approved extension, will be based on the CAEP standards, policy, and handbook, and carried out using the uniform CAEP Accreditation process.

## 5. CAEP Accreditation Cycle

The Parties understand and agree that:

- 5.1. The CAEP Accreditation cycle involves an EPP in continuous improvement and requires an EPP to demonstrate that it meets the high standards of quality required to improve P-12 student learning.
- 5.2. Subject to the provisions of Section 4.2, above, to merit full accreditation by CAEP, an EPP must meet all CAEP Standards on the basis of sufficient and accurate evidence.
- 5.3. An EPP seeking CAEP Accreditation, either as an initial applicant or through a renewal process (generally referred to as continuous accreditation), must complete a self-study process leading to an EPP's production of a Self-Study Report (SSR), a Formative Review, and a Site Visit. An EPP may elect to participate as designated in CAEP policy in the review panel deliberations. Additional details of the CAEP Accreditation process are included in Accreditation Council policy.
- 5.4. A site visit, carried out by a site team, is an essential part of the accreditation process. Members of the assigned team investigate the quality of an EPP's evidence, including the accuracy and consistency of the evidence provided in relation to CAEP Standards. During a two- to three-day site visit, the site team reviews evidence, data, and pedagogical artifacts (e.g., lesson plans, student work samples, videos) assembled by the EPP. The site team also interviews EPP leaders, faculty, mentor teachers, candidates, students, P-12 administrators, and others relevant stakeholders.
- 5.5. The State elects that CAEP's reviews of EPPs in the State will be carried out using site teams composed as follows:
  - 5.5.1. **CAEP Only Team.** The site team is appointed by CAEP using highly trained national site visitors. Prior to assignment to any site team, an individual must have successfully completed CAEP training for site team members and must acknowledge understanding of and agreement to adhere to CAEP's code of conduct, including with regard to confidentiality and conflicts of interest.
- 5.6. Prior to assignment to any CAEP site team, an individual must have successfully completed CAEP training for site team members and must acknowledge understanding of and agreement to adhere to CAEP's code of conduct, including with regard to confidentiality and conflicts of interest.
- 5.7. Each site team shall include a P-12 practitioner, when possible. The State will make recommendations for P-12 practitioners through the CAEP accreditation platform.
- 5.8. The State will appoint a consultant to observe the site review. Any expenses associated with the attendance of the consultant must be covered by the State. Prior to participation, the consultant must

acknowledge understanding of an agreement to adhere to CAEP’s policies and procedures regarding site visits and the CAEP Code of Conduct, including with regard to confidentiality and conflicts of interest.

- 5.9. All site visit activities undertaken by a CAEP site team will be conducted in accordance with the policies and procedures of CAEP and the Accreditation Council.
- 5.10. CAEP, is not responsible for site visit expenses for state-assigned personnel.
  - 5.10.1. An EPP that is subject to the jurisdiction of [State] may choose from among any of the following program review options for CAEP Accreditation:
    - 5.10.1.1. **Specialty Program Review with National Recognition.** The goal of the specialized professional association (SPA) Program Review with National Recognition is to align specialty licensure area data with national standards developed by SPAs in order to receive national recognition at the program level. The site team will consider the National Recognition decision available in SPA program level reports as evidence to meet the sufficiency criteria related to CAEP Standard 1.
    - 5.10.1.2. **State Review by State Authority.** The State conducts program reviews for purposes of state approval and to inform CAEP Accreditation. An EPP selecting the State Review option will follow State guidelines. The State provides forms and instructions on how to meet all state standards for licensure/certificate program approval. Upon an EPP’s completion of the State authority forms, trained reviewers are selected and assigned within appropriate content areas. Reviewers make recommendations for further action and/or approval. The State makes the final decision on the approval of any program.
- 5.11. The specific timeline established for the review of an EPP, as well CAEP’s consideration of any request for an extension, will be decided by CAEP and the Accreditation Council, as appropriate, on a case by case basis and in accordance with CAEP and Accreditation Council policies.
- 5.12. Once granted full CAEP Accreditation, an EPP’s term of accreditation shall be seven (7) years. Throughout this term, to maintain accreditation, an EPP must comply with CAEP and Accreditation Council policies, including policies regarding payment of annual dues and the submission of annual reports.
- 5.13. An EPP for which the Accreditation Council issues a decision to deny or revoke accreditation may have a right to petition or an appeal subject to Appeals Council policy.
- 5.14. The State will provide to CAEP its policy leading to a “Change in State Status.” The State will notify CAEP within thirty (30) days of action taken when a CAEP-accredited EPP has had a “Change in State Status” as a result of a decision on specialized professional association (SPA) program status by the State.
- 5.15. Accreditation-specific terminology and definitions used by CAEP as part of its EPP review and accreditation processes may vary from similar terms and definitions used by the State. Any definitions of key terms and glossaries created by CAEP are available on the CAEP website

[<http://caepnet.org/glossary>]. The State should inquire with CAEP about the definition of any term if there is uncertainty regarding its meaning in the CAEP Accreditation context.

## **6. Opportunities for State Input**

The Parties understand and agree that:

- 6.1. CAEP will afford the State multiple opportunities to provide CAEP, the site team, and members of the Accreditation Council with any information or data the State deems relevant to the accreditation of an EPP, as follows:
  - 6.2. As described in Section 5.5.2, above, the State may elect to appoint members of the CAEP site team.
  - 6.3. The state will provide CAEP with preferred dates 18 months prior to any scheduled site visit. At any time, up to six (6) weeks before the scheduled visit, the State may provide CAEP with comments and information on the EPP for consideration by the site team. EPPs will be given an opportunity to respond to any such comments prior to the site visit.
  - 6.4. At any time, the State may file a complaint regarding an EPP with the Accreditation Council for investigation and consideration as part of the EPP's ongoing cycle of CAEP Accreditation.
  - 6.5. In the event an EPP within the State petitions for the appeal of an adverse action of the Accreditation Council, CAEP will notify the State that such petition has been received. Any notification of a decision made by an appeal panel will be made in accordance with Section 7, below, and the detailed notification provisions included in Accreditation Council policy.

## **7. Decisions of the Accreditation Council and Appeals Council**

The Parties understand and agree that:

- 7.1. The Accreditation Council makes decisions regarding the accreditation of EPPs at regularly scheduled meetings held two times each year.
- 7.2. Following any decision of the Accreditation Council to deny or revoke the accreditation of an EPP, the EPP is promptly informed of its option to file a petition for an appeal and the requirements for qualifying to have an appeal considered by CAEP's Appeals Council. Appeals criteria and process information are included in Appeals Council policy.
- 7.3. CAEP provides written notice of each decision of the Accreditation Council and Appeals Council to the State and the following individuals and entities:
  - United States Secretary of Education (only if required subsequent to CAEP achieving recognition by the U.S. Secretary of Education) or relevant government agency for international EPPs

- Council for Higher Education Accreditation (CHEA)
- Other State licensing or authorizing agency representatives, as appropriate
- Appropriate accrediting agencies, including national, regional, and specialized accrediting agencies
- Relevant state affiliates of the National Education Association (NEA) and the American Federation of Teachers (AFT)

7.4. In the event of a final decision to deny or revoke accreditation, CAEP's written notice will include a brief statement summarizing the reasons for the adverse action, along with the official comments, if any, that the affected EPP may wish to make with regard to the decision, or evidence that the affected EPP has been offered the opportunity to provide official comment.

7.5. The written notice CAEP provides regarding its accrediting decisions, made in accordance with the requirements of Federal regulations (34 CFR Part 602), includes notice to the appropriate State licensing or authorizing agency which may be a party to this agreement. Specifically, such notice will be provided no later than thirty (30) days following a decision to award initial accreditation or to renew or continue accreditation. In the event of a final decision to place an EPP on probation, grant provisional accreditation, or to deny or terminate accreditation of an EPP, notice will be provided to the appropriate State licensing or authorizing agency at the same time notice of the decision is given to the EPP, but no later than 30 days after the decision is reached. Within 30 days of receiving notification from an EPP that the EPP has decided to withdraw voluntarily from accreditation or to let its accreditation lapse CAEP will provide the appropriate State licensing or authorizing agency with written notice.

## **8. Data Sharing**

The Parties understand and agree that:

8.1. The CAEP Standards and process for CAEP Accreditation require an EPP to collect and share data. To the extent that the State maintains data necessary for CAEP's review of an EPP, subject to any data sharing agreement that may exist between an EPP and the State, CAEP expects that the State will make the relevant data available to CAEP at no cost, in a timely manner, with all personally identifiable information removed or redacted, and with all appropriate permissions to use the data for CAEP Accreditation activities.

8.2. In order to facilitate the reviews necessary for CAEP Accreditation, CAEP will provide the State and each dues paying EPP in the State with access to the CAEP accreditation platform, CAEP's data and information management system. Should the State or any EPP fail to pay annual dues to CAEP in a timely manner, CAEP reserves the right to suspend access to the CAEP accreditation platform until any outstanding dues are paid.

8.3. CAEP policies and the CAEP accreditation platform include information on the confidential nature of information maintained within the CAEP accreditation platform. All CAEP accreditation platform users must acknowledge CAEP's confidentiality policy and agree to adhere to it.

## 9. Partnership Dues, State Benefits, and Fees for Additional Services

The Parties understand and agree that:

### 9.1. State Partnership annual dues (See Appendix A for explanation of fee structure).

The State will pay \$25,460 upon submission of a proper invoice for membership between June 1, 2019 and May 31, 2020.

Annual membership dues for June 1, 2020-May 31, 2021; June 1, 2021-May 31, 2022 shall be paid in accordance with the fee structure in Appendix A with each year not to exceed \$30,000 and each year subject to all of the following:

1. CAEP submitting a proper invoice (see Exhibit I);
2. CAEP completing the necessary steps to become a Registered Supplier for the State of Ohio by visiting <http://www.supplier.obm.ohio.gov/>.
3. The state biennial main appropriations act has passed and appropriates adequate funding for this specific purpose and all of the following have been met:
  - a. All statutory provisions under the Code, including §126.07 have been met.
  - b. All necessary funds are made available by the appropriate state agencies.
  - c. If required, approval of this agreement is given by the Controlling Board of Ohio.
  - d. In the event that State is relying on federal or third-party funds for this agreement, State provides CAEP with written notice that such funds have been made available.

Any payment made after June 30, 2019 is dependent upon the appropriation in the State of Ohio biennial budget, which is expected to be finalized on or before July 1, 2019. Should the State become aware of the State of Ohio biennial budget discontinuing or drastically altering the funding associated with this agreement, the State will notify CAEP as soon as practicable, and indicate a termination of this agreement after the current membership year ends.

### 9.2. CAEP will provide up to three (3) individuals employed by the State with access to CAEP accreditation platform.

### 9.3. During each year covered by this agreement, CAEP will waive the CAEP Conference registration fee for one (1) designated State representative; however, the State or State representative must assume other expenses associated with attending the conference.

### 9.4. During each year covered by this agreement, CAEP will assume all expenses for one (1) designated State representative to attend the annual CAEP Clinic. A registration fee will be assessed for any additional State staff and they must assume other expenses associated with attending the clinic.

### 9.5. CAEP offers states access to CAEP National Training for up to five site visitors a year, including training and travel (additional participants may be added based on need and on a cost-recovery basis). CAEP may also offer supplemental training opportunities for state reviewers.



Supplemental training events that are arranged, including events in the State, will be provided by CAEP on a cost-recovery basis and with specific arrangements negotiated according to CAEP's policies regarding fees and expenses for training.

- 9.6. The State will work with associations that represent P-12 educators (NEA, AFT, NBPTS), educator preparation providers, and education administrators to establish credit toward continuing education units or professional development requirements at the local district level in return for the State's P-12 educators' professional contributions to the work of CAEP as site visitors or program reviewers.

## **10. State and CAEP Contacts**

The Parties understand and agree that:

- 10.1. The State will designate a liaison to serve as the primary contact for CAEP throughout the term of this agreement.
- 10.2. CAEP will designate a liaison to serve as the primary contact for the State through the term of this agreement.

## **11. Agreement Term and Amendments**

The Parties understand and agree that:

- 11.1. CAEP and the State enter into this partnership agreement for the three (3)-year period beginning June 1, 2019 and ending on May 31, 2022.
- 11.2. The Parties will review this agreement at least annually and, as necessary, propose any amendment deemed appropriate and which may be adopted upon the agreement of the Parties.
- 11.3. Should any provision of this agreement be determined to be in conflict with CAEP policy, including the policies of the Accreditation Council and Appeals Council, CAEP policy will be the prevailing authority and this agreement will be required to be amended to resolve the conflict.
- 11.4. Notwithstanding the annual review described above, this agreement may be modified by consent of the Parties at any point.

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Christopher Koch, President  
Council for the Accreditation of Educator Preparation

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DATE

By signing this agreement, the undersigned agrees to be bound by the terms outlined above, and affirms that he or she has the authority to enter into this agreement on behalf of the State.

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Chancellor Randy Gardner      DATE

**Appendix A: State Dues Structure**

Beginning in FY18 (July 1, 2017), a new State Partnerships dues structure\* take effect. The new structure more fairly aligns and delineates (1) the collective resources required to service state partners in conjunction with their respective EPPs (fixed and proportional amounts), and (2) the variable resources required to administer the CAEP-State joint visits for States that choose to participate in the joint CAEP Accreditation processes (variable amount).

Annual costs for supporting activities associated with State Partnerships have both fixed and proportional components which include costs associated with the CAEP Clinic, fall and spring CAEP Conferences, staff time, technology costs for maintaining workspaces within CAEP's accreditation platform, and other indirect expenses.

For the fixed and proportional amounts, states would be assessed \$2,750 annually to cover expenses for the spring convening and conference registration plus a portion of indirect expenses which are based on the actual percentage of CAEP member EPPs within each state.

For example:

State A has 20 CAEP member EPPs, or 2.2% of total CAEP EPPs. The proportional amount will be set at 2.2% of \$315,000 (current total), or \$6,900. Therefore, the total fees for State A will be: \$2,750 (fixed) + \$6,900 (variable) = \$9,650.

\* This represents the dues structure in effect at the time this agreement is entered into by the Parties. CAEP reviews the dues structure annually and reserves the right to adjust the State's annual dues as needed to ensure that all costs of CAEP's accreditation activities are adequately covered. CAEP will notify the State upon the adoption of any changes to this structure and the data on which any new dues structure will take effect.

**EXHIBIT 1**

**CONSULTANT INVOICE  
(PROFESSIONAL FEES)**

BILL TO: OHIO DEPARTMENT OF HIGHER EDUCATION  
ATTENTION: \_\_\_\_\_  
25 SOUTH FRONT STREET, 2<sup>nd</sup> FLOOR  
COLUMBUS, OHIO 43215-3414

DATE \_\_\_\_\_  
INVOICE # \_\_\_\_\_  
PO \_\_\_\_\_

CONSULTANT: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Vendor ID: \_\_\_\_\_  
PHONE #: \_\_\_\_\_

DATE WORK COMPLETED FROM \_\_\_\_\_ TO \_\_\_\_\_

Date	Deliverable	Fee

Amount Due: \$ \_\_\_\_\_